

Numerical Simulation of Micro-Flow Surface Smoothing of Fused Silica by CO₂ Laser

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Micro-flow smoothing of fused silica surface with CO₂ lasers were numerically simulated. Two types of laser intensity distribution, namely Gaussian and top-hat, were modeled on various surface morphology. In the models, the surface tension and Marangoni effect were taken into account. The results show that surface tension and Marangoni effect acts synergically whereas surface tension dominates the resultant surface in our simulated conditions. Top-hat intensity is conducive to smoothing of fused silica glass. In addition, surfaces with both periodic and random topography can be smoothed. Under the 585.69 W/cm² power density, the best roughness (RMS) of Gaussian and top-hat is 2.85 nm and 2.48 nm respectively (initial roughness > 700 nm).

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1. Introduction

Laser smoothing of fused silica is being paid increasingly attention in recent years due to the non-contact and high speed features of laser smoothing technique [1]. The smoothing laser is, in most cases, CO₂ laser of 10.6 μm wavelength because fused silica strongly absorbs 10.6 μm laser light within the topmost layers of tens of microns. The absorbed laser is mostly converted into heat, resulting in sharp increase in local temperature. The temperature usually can reach as high as softening point or even evaporation temperature. As a consequence, fused silica can be smoothed by means of micro-flow or ablated by evaporation. It is mass loss that differentiates smoothing from ablation. In smoothing regime, mass loss is hardly perceptible while significant material is removed in ablation. In some cases such as drilling, scribing of fused silica glass in microfluidics or photonics, ablation is preferred. On the other hand, smoothing appears attractive in surface figuring without degrading the original surface which is the case of our study. Therefore, the temperature must lie in between softening and evaporation temperature in order to smooth fused silica in micro-flow regime. In micro-flow regime, surface tension and Marangoni take effects synergically, which results in the final surface tomography.

In 1980s, it has already been proved that CO₂ laser can recover and smooth scratched glass surface [2,3]. From 2003, a team in Germany has engaged in CO₂ laser polishing [4-9]; it is reported that CO₂ laser polishing can reduce the initial surface roughness of fused silica samples from 100 nm to less than 5 nm. The reason is that the thin layer of material on the surface of fused silica is melted by incident laser, resulting in displacement of glass material and thus surface smoothing. Owing to surface tension, the material flows from the peak to valley and thus the surface is smoothed. CO₂ laser polishing process is applied to polish spherical and aspheric glass, even free-form surfaces. Compared with the surface polished with traditional meth-

ods, the surface polished by laser contains few micro-defects. However, the waviness value of laser polishing is relatively high, for spatial wavelength $\lambda > 100 \mu\text{m}$. Yuan [10] used CO₂ laser to process the surface of fused silica glass (initial surface roughness RMS > 165 nm) and obtained a smooth mirror with RMS < 1 nm. It is found that there are two ways in the fused silica irradiated by CO₂ laser, namely micro-flow and ablation. In micro-flow mode, the surface of fused silica is smooth without mass loss, while the material on fused silica surface evaporates in ablation mode. Wang [1] studied the smooth effect of Gaussian and top-hat CO₂ laser on the surface of fused silica, and found that the surface with initial surface roughness of 500 nm (RMS) can be smoothed to < 0.5 nm (RMS) by both light intensity distributions. Some researchers have established a numerical model of heat transfer and flow coupling in metal laser polishing to describe the flow behavior of molten pool, which has revealed the internal mechanism of metal laser polishing [11-15]. He et al. [16] established a transient numerical model based on the finite element method for CO₂ polishing fused silica, revealed the basic physical mechanism in the polishing process, and simulated the surface smoothing process of laser polishing. These models take into account the Gaussian distribution of CO₂ laser instead of other laser intensity distribution. In addition, the effect of initial surface morphology on final surface quality was seldom investigated in these models.

In this paper, fused silica with various surface morphologies under the irradiation of Gaussian and top-hat laser were studied. The laser fluence (Gaussian and top-hat) was set to 585.69 W/cm². The final surface roughness was calculated from the models. The simulation results show that top-hat laser can greatly shorten the smoothing time compared with Gaussian laser. The initial smoothing time is 50 ms for top-hat laser, 70 ms for Gaussian laser. As to various surface morphologies, all of them can be smoothed according to our results. The smoothing effect of

periodic topography under the condition of period $T=5 \mu\text{m}$ and amplitude $A=1 \mu\text{m}$ is better than others, the optimal roughness (RMS) smoothed by Gaussian and top-hat laser is 2.85 nm and 2.48 nm respectively. Unfortunately, although the random topography can be also smoothed, the final effect is not satisfactory, the surface roughness (RMS) reduced from 700 nm to 520 nm under the irradiation of Gaussian and top-hat laser.

2. Simulation model

Laser smoothing is a multiphysics process, including heat transfer with phase change and fluid flow [16]. A multi-physics model describing the heat transfer and fluid flow process on the fused silica surface was established using a finite element simulation software. The surface temperature of material rises to liquidus temperature and thus forms a molten pool, while fused silica irradiated with laser. Material in molten pool tends to redistribute under the effect of micro-flow to smooth the initial surface roughness that the peak-valley value (PV value) reduced. Also, the final surface morphology tends to be smooth during the process. According to the above mention, the simulation was based on the following assumptions:

- 1) Fused silica is considered to be isotropic and it is incompressible in liquid state.
- 2) The simulation temperature is between softening and evaporation temperature.
- 3) Surface tension and Marangoni effect is considered in the micro-flow mode.
- 4) Ablation model is neglected due to inconducive to smoothing.

2.1 Governing equations

In polishing process, the surface temperature of fused silica will increase after laser irradiation and thus forms a molten pool. The transient temperature is controlled by the governing equations [1] of micro-flow simulation model. The governing equations include heat conduction equation describing temperature field and momentum equation describing fluid, expressed by Eq. (1).

$$\rho C_p \left[\frac{\partial T}{\partial t} + \nabla \cdot (\vec{u}T) \right] - \nabla \cdot (k\nabla T) = 0 \quad (1)$$

Where ρ is the density, C_p is the heat capacity, k is the thermal conductivity, \vec{u} is the velocity field in the molten pool which can be calculated by Navier-Stokes equation, as shown in Eq.(2):

$$\rho \frac{\partial \vec{u}}{\partial t} + \rho(\vec{u} \cdot \nabla)\vec{u} = \nabla \cdot [-P\vec{I} + \mu(\nabla\vec{u} + (\nabla\vec{u})^T)] + F \quad (2)$$

Where P is the pressure in the molten pool, \vec{I} is the unit matrix, μ is the dynamic viscosity and F is the volume force, including buoyancy force and gravity. In addition, fused silica is incompressible in liquid state:

$$\nabla \cdot \vec{u} = 0 \quad (3)$$

The small region on the fused silica surface under laser irradiation transforms from solid to liquid state and thus forms a molten pool. Phase transition can happen in this process, which will influence the heat capacity of fused silica. Here, the heat capacity is set as a function of the temperature [17]. Similarly, the heat change will certainly

affect the viscosity which is temperature dependent. The viscosity was approximated by Eq. (5), referring to the experimental data reported by Protasov [18].

$$C_p(T) = \begin{cases} -120 + 4.56T - (7.38 \times 10^{-3})T^2 & 273K < T < 1500K \\ +(6.59 \times 10^{-6})T^3 - (3.05 \times 10^9)T^4 & \\ 678 - 0.142T + (3.45 \times 10^{-4})T^2 & 1500K < T < 1696K \\ 1429 & 1696K < T \end{cases} \quad (4)$$

$$\eta = \begin{cases} 3.8 \times 10^{-14} (Pa \cdot s) \exp\left(\frac{85640K}{T}\right) & T < 1670K \\ 5.8 \times 10^{-14} (Pa \cdot s) \exp\left(\frac{61990K}{T}\right) & T \geq 1670K \end{cases} \quad (5)$$

2.2 Boundary conditions

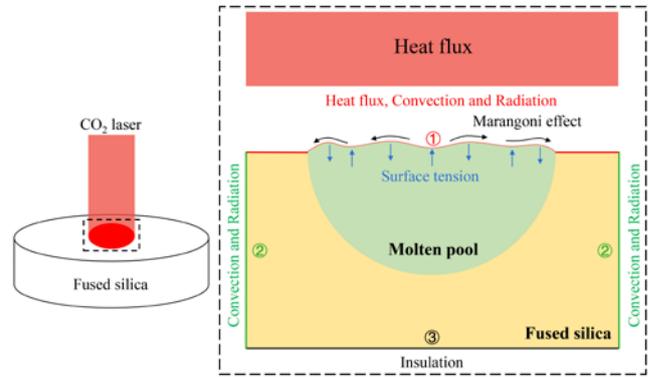


Fig.1 Schematic diagram of simulation model.

As shown in Fig.1, multiple boundary conditions exist in the simulation model describing the CO₂ laser polishing process. Boundary condition ①, which includes laser heat flux, convection and surface-to-ambient radiation, can be described in Eq.(6):

$$-k\nabla T = Q + h(T - T_a) + \varepsilon B(T^4 - T_a^4) \quad (6)$$

where h is the natural convection coefficient, ε is the emissivity, B is the Stefan-Boltzmann constant, and T_a is the ambient temperature. Laser heat flux Q of Gaussian and top-hat distribution is given by Eq. (7) and Eq. (8), respectively:

$$Q_{\text{Gaussian}} = \frac{2P_{\text{Gaussian}}}{\pi r^2} \exp\left(\frac{-2x^2}{r^2}\right) \quad (7)$$

$$Q_{\text{Top-hat}} = \frac{P_{\text{Top-hat}}}{\pi r^2} \quad (8)$$

where P is the laser power, r is the radius of laser beam, x is the distance from the center of the laser beam.

The heat exchange between fused silica and its surroundings can be expressed as natural convection. Moreover, all objects above absolute zero have thermal radiation. Boundary ② is affected by convection and surface-to-ambient radiation as shown in Eq. (9), while boundary ③ is considered thermal insulation in Eq. (11). The convection is expressed by Eq. (10).

$$-k\nabla T = h(T - T_a) + \varepsilon B(T^4 - T_a^4) \quad (9)$$

$$-k\nabla T = h(T - T_a) \quad (10)$$

$$\nabla T = 0 \quad (11)$$

When the temperature of molten pool reaches the liquidus temperature, the capillary force acting along the normal direction (surface tension) and the thermal capillary flow acting along the surface (Marangoni effect) will affect the surface topography of the molten pool [16].

In the normal direction, the surface tension can be described as

$$\sigma_n = \kappa\gamma \cdot \vec{n} \quad (12)$$

In the tangential direction, the Marangoni effect can be described as

$$\sigma_t = \frac{\partial\gamma}{\partial T} \nabla_s T \cdot \vec{t} \quad (13)$$

where κ is the curvature of the surface profile, γ is the surface tension, and $\nabla_s T$ is the temperature gradient along the tangential direction of the surface. \vec{n} and \vec{t} are the unit normal and tangential vectors to the local surface. All boundaries in the computational domain are labeled as shown in Fig.1.

2.3 Parameter setting

As shown in Fig.2 (a), the central region under laser irradiation can be regarded as the expected molten pool and the other region is regarded as solid substrate. The molten pool is affected by laser parameters (as laser power and laser beam size). It is assumed that phase transformation only occurs in the expected molten pool, which makes it possible to couple heat transfer with fluid flow. At the top of the geometry of fused silica model, a manually drawn curve with periodic and random topography with peaks and valleys represents the initial surface morphology, where the of 'period' and 'amplitude' is shown (Fig.2 (b)).

In previous studies [10], it was found that there are two ways in the fused silica irradiated by CO₂ laser, namely micro-flow and ablation. It is weight loss that differentiates smoothing from ablation. In smoothing regime, mass loss is hardly perceptible while significant material is removed in ablation. By adjusting the laser power, the temperature can be controlled at a level between softening and evaporation temperature, smoothing fused silica in micro-flow regime. As reported in our previous work [10], the smooth power density threshold is 339.531 W/cm², about 594.178 W/cm² for ablation power density threshold. In this study, only the smooth process in micro-flow regime is considered, that is, the simulated laser power density is set between 339.531-594.178 W/cm². Both spot radius of Gaussian laser and top-hat laser were set to be 75 μm. The dimension of molten pool is generally considered to be slightly smaller than laser beam [14], which is 100 μm in the simulation model. The power density was set to be 585.69 W/cm², which was slightly less than the ablation power density. The geometric model of the molten pool is shown in the Fig.2. The intensity distribution of Gaussian and top-hat laser is shown in the Fig.3. The parameters of the numerical model are given in Table 1.

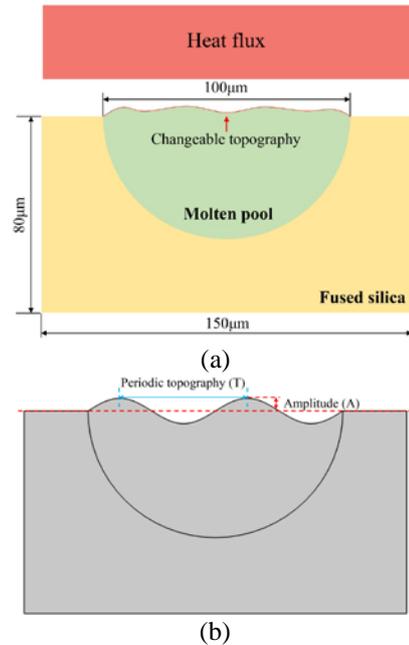


Fig.2 (a) Geometric model of molten pool (b) Schematic definition of 'period' and 'amplitude'.

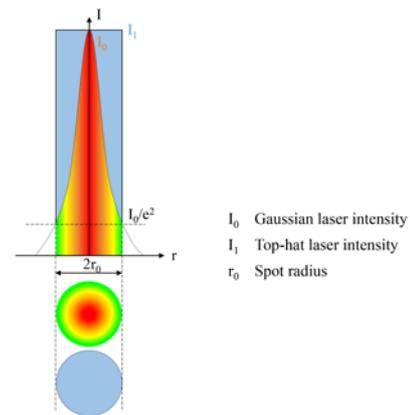


Fig.3 Intensity distribution of Gaussian and top-hat laser.

Table.1 Parameters and constants of the numerical model.

Parameter	Condition
Glass transition temperature T_g	1475 K [18]
Liquidus temperature T_l	1986 K [18,19]
Evaporation temperature T_e	2503 K [1]
Density ρ	2201 kg/m ³ [1]
Latent heat L_m	120 kJ/mol [1]
Surface tension coefficient δ	0.4 N/m [1]
Temperature derivative of surface tension $\partial\gamma/\partial T$	$-2.7 \cdot 10^{-4}$ N/(m•K) [1]
Thermal expansion coefficient α	$5.5 \cdot 10^{-7}$ K ⁻¹ [1]
Convective coefficient h	10 W/(m ² •K) [1]
Surface emissivity ϵ	0.91 [1]
Initial temperature T_i	298.15 K [1]
Ambient temperature T_a	289.15 K [1]

3. Results

3.1 The temperature induced by Gaussian and top-hat laser

The temperature on the surface with periodic topography of different periods ($T=5 \mu\text{m}$, $10 \mu\text{m}$, $25 \mu\text{m}$, $50 \mu\text{m}$) and amplitudes ($A=1 \mu\text{m}$, $5 \mu\text{m}$, $10 \mu\text{m}$) were simulated. The temperature can be simulated within the softening and evaporation point by pre-setting irradiation time. The irra-

diation time of Gaussian and top-hat was set 0-120 ms and 0-70 ms respectively. The surface temperature of molten

pool was calculated.

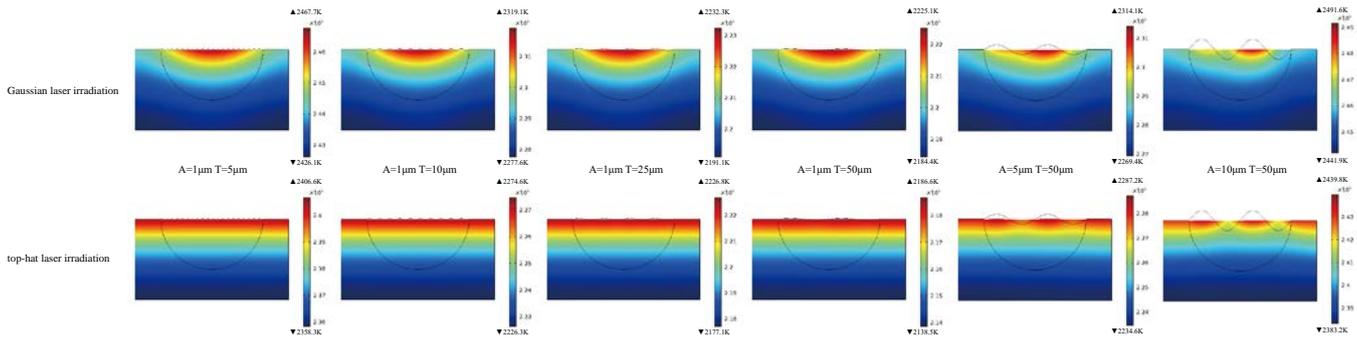


Fig.4 Surface temperature of molten pool subject to Gaussian and top-hat laser irradiation.

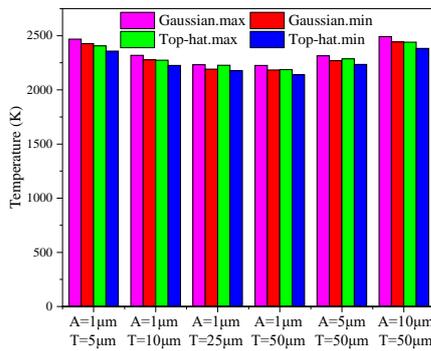


Fig.5 Surface temperature of molten pool.

Fig.4 shows the temperature on surface of different parameters (A=1 µm T=5 µm, A=1 µm T=10 µm, A=1 µm T=25 µm, A=1 µm T=50 µm, A=5 µm T=50 µm, A=10 µm T=50 µm) under 120 ms Gaussian laser irradiation and 70ms top-hat laser irradiation. Fig.5 was drawn according to the maximum and minimum values of surface temperatures of molten pool under Gaussian and top-hat laser irradiation in Fig.4, making the extreme temperatures under different parameters more intuitive.

The temperature shows a downward trend with the increasing period. When the period T reaches 5 µm, the maximum temperature at the center region reaches 2467.7 K for Gaussian laser irradiation. The minimum temperature 2184.4 K appears at the period of T=50 µm. In addition, the surface temperature of different amplitudes (A=1 µm, 5 µm, 10 µm) at the same period (T=50 µm) under 120 ms Gaussian laser irradiation was also shown in Fig.4. The results show that the temperature increases with the amplitude of periodic topography on initial surface. Obviously, the maximum temperature at the center region reaches 2225.1 K at the amplitude of A=1 µm. The maximum temperature is more likely to appear in the peak region of surface morphology. The surface morphology becomes more rough with the increase of amplitude A. It seems that the peak region will get laser radiation earlier than the valley region. Similar results can be observed in the simulation under top-hat laser irradiation, as shown in Fig.4.

3.2 Periodic topography evolution under Gaussian and top-hat laser

To investigate how the laser intensity distribution (including Gaussian and top-hat laser) affects the surface morphology, the periodic topography evolution was simulated. The surface morphology and roughness (RMS) of periodic topography evolution with different periods (T=5 µm, 10 µm, 25 µm, 50 µm) and amplitudes (A=1 µm, 5 µm, 10 µm) were modeled. The surface evolution of molten pool is shown in Fig. 7. It is clear that the surface profile has altered upon Gaussian and top-hat laser irradiation. The surface roughness (RMS) of 100µm sampling was calculated using the formula:

$$RMS = \sqrt{\sum_{i=1}^N \frac{x_i^2}{N}} \quad (1)$$

where x_i represents the value of each point as well as N represents the number of points.

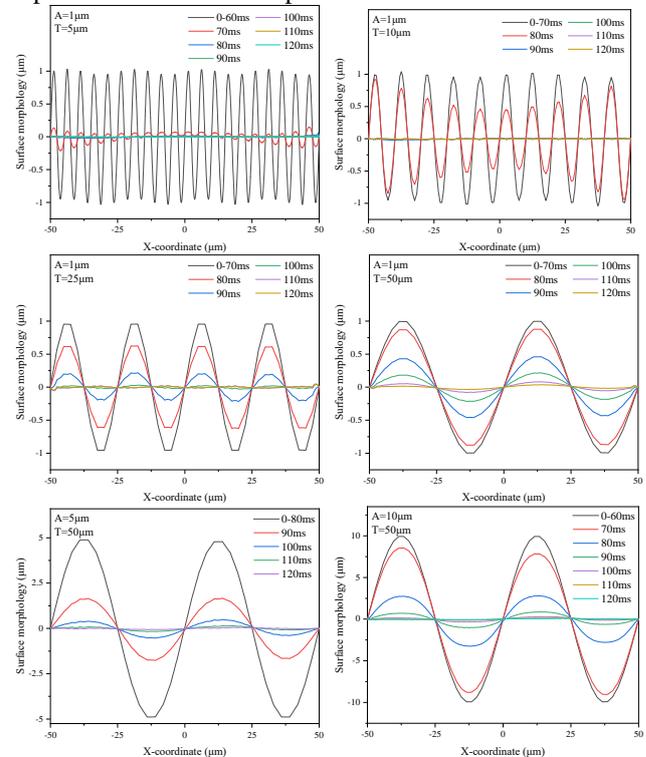


Fig.6 Surface evolution of molten pool under Gaussian laser.

When the temperature of fused silica reaches the liquefying temperature (1986 K), the phase transformation gradually takes place from solid to liquid, but the final temperature is lower than the evaporation temperature

(2503 K). In the liquid state, the surface tension combining Marangoni effect will start to smooth the fused silica surface.

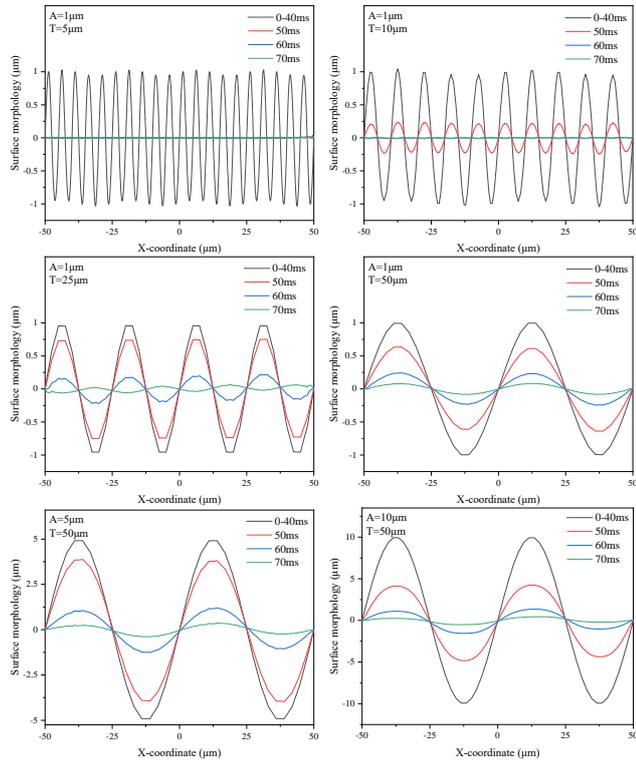


Fig.7 Surface evolution of molten pool under top-hat laser.

The simulation results showed that all the initial surfaces with different periodic topography can be smoothed. It is apparent from Fig.6, for Gaussian laser, the smooth velocity appears a downward trend with the increasement of period. Under the amplitude $A=1\ \mu\text{m}$, the trigger time of the smoothing effect for period $T=5\ \mu\text{m}$ was 70 ms, and it was about 80 ms for $T=10\ \mu\text{m}$, $25\ \mu\text{m}$, $50\ \mu\text{m}$. Although the $T=50\ \mu\text{m}$ surface also starts to smooth at 80 ms, its amplitude only decreases from $1\ \mu\text{m}$ to $0.8\ \mu\text{m}$, while for $T=25\ \mu\text{m}$ to $0.6\ \mu\text{m}$, $T=10\ \mu\text{m}$ can even to about $0.5\ \mu\text{m}$. In addition, when period T reaches $50\ \mu\text{m}$, the trigger time of smoothing effect for amplitude $A=1\ \mu\text{m}$ was 80 ms, about 90 ms for amplitude $A=5\ \mu\text{m}$, 70 ms for amplitude $A=10\ \mu\text{m}$. The trend of surface periodic morphology evolution is also applicable to top-hat laser from Fig.7, while the smooth velocity of top-hat laser is more rapidly, because top-hat laser can reach the smoothing temperature in a shorter time (compared with Gaussian laser). As for top-hat laser, the final smoothing time is 70 ms, which is much less than that of Gaussian laser (120 ms). Under the amplitude $A=1\ \mu\text{m}$, the initial smoothing time of period $T=5\ \mu\text{m}$ is the fastest as 50 ms. The amplitudes $A=1, 5$ and $10\ \mu\text{m}$ begin to smooth in 50 ms with period $T=50\ \mu\text{m}$, but the smoothing range of the amplitude $A=10\ \mu\text{m}$ is the largest, which decreases from $10\ \mu\text{m}$ to $4\ \mu\text{m}$.

The roughness (RMS) of periodic topography evolution during laser irradiation was also studied. Shown in Fig.8, 9 are the results for various surface morphology used in our simulation. As can be seen in Fig.8, 9, The change of roughness (RMS) is consistent with the trend of smoothing. No matter Gaussian or top-hat laser, the smoothing velocity

of period $T=5\ \mu\text{m}$ is more rapidly under the amplitude $A=1\ \mu\text{m}$, correspondingly the roughness decreases faster. The largest reduction of roughness (RMS) occurred in period $T=50\ \mu\text{m}$ and amplitude $A=10\ \mu\text{m}$, where from initial 7060.9 nm decrease to 46.8 nm for Gaussian, to 290.7 nm for top-hat laser.

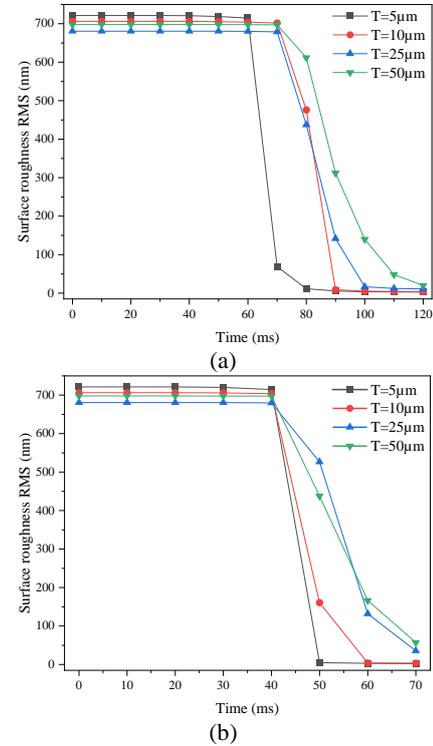


Fig.8 Roughness variation diagram when amplitude $A=1\ \mu\text{m}$. (a) Gaussian;(b) Top-hat.

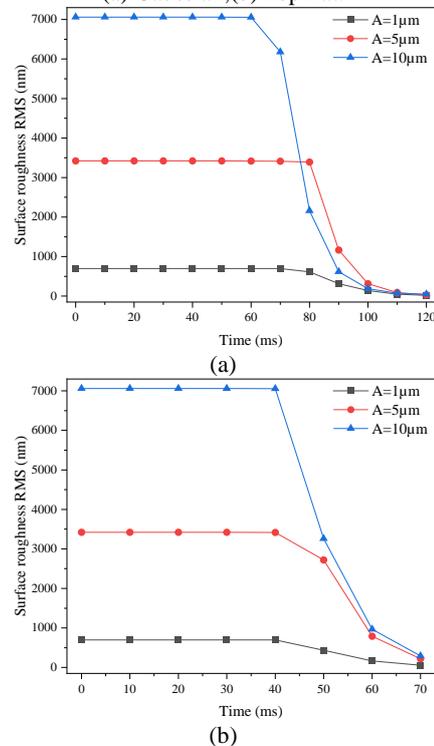


Fig.9 Roughness for different amplitude ($1\ \mu\text{m}$, $5\ \mu\text{m}$, $10\ \mu\text{m}$) with $T=50\ \mu\text{m}$. (a) Gaussian;(b) Top-hat.

The roughness (RMS) reaches the lowest in 120 ms for Gaussian laser and 70 ms for top-hat laser. As shown in

Fig.10, the best roughness (RMS) of Gaussian and top-hat is 2.85 nm and 2.48 nm respectively. In addition, when amplitude and period is altered, the optimal roughness (RMS) irradiated under Gaussian laser changes little with amplitude and period, but it showed significant difference as amplitude and period change for top-hat laser.

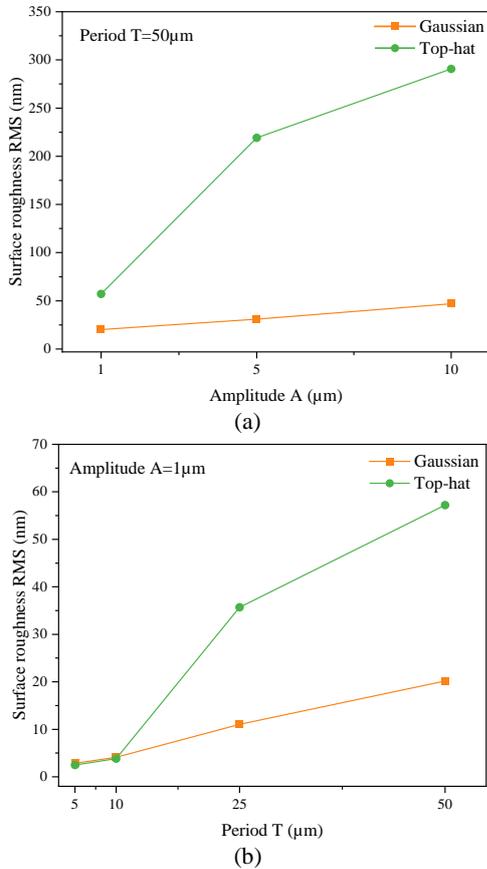


Fig.10 The surface roughness of molten pool when irradiated with Gaussian and top-hat laser. (a) Period $T=50\mu\text{m}$ (b) Amplitude $A=1\mu\text{m}$

3.3 Random topography evolution under Gaussian and top-hat laser

The random topography evolution was also simulated under Gaussian and top-hat irradiation. As shown in Fig. 11, the initial uneven surface can be smoothed with the increasing laser irradiation time. Fig.12 shows the calculated surface roughness (RMS) after smoothing using Gaussian and top-hat laser. When laser irradiation time comes to an end, roughness (RMS) under Gaussian and top-hat changes very little, but for top-hat laser will cost less time to get optimal roughness (RMS) than that of Gaussian laser. The time to begin smoothing of top-hat laser is about 60 ms, about 90 ms for Gaussian laser.

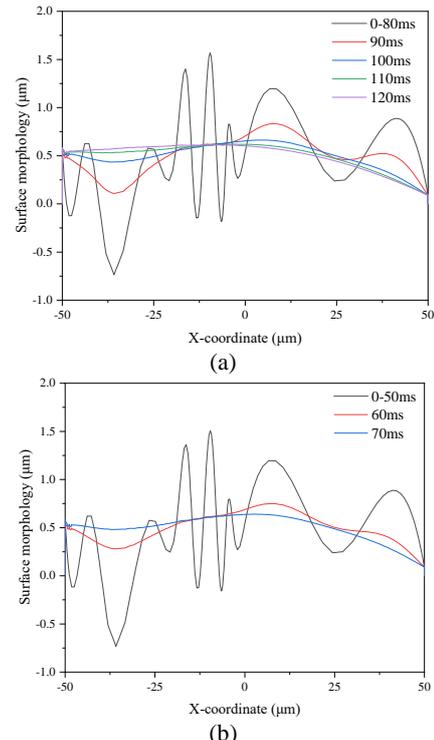


Fig.11 Random surface profile of molten pool. (a) Gaussian laser; (b) Top-hat laser.

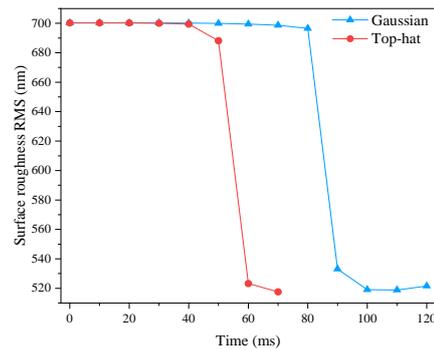


Fig.12 Surface roughness of molten pool.

4. Discussion

Fused silica strongly absorbs $10.6\ \mu\text{m}$ laser light within the topmost layers of tens of microns. About 80% of the absorbed laser can be converted into heat, resulting in sharp increase in local temperature. The temperature usually can reach as high as softening point or even evaporation temperature. It is necessary to control the laser parameters (mainly laser power, laser beam size and irradiation time) to ensure the temperature lie in between softening and evaporation temperature. For example, the temperature irradiated by Gaussian and top-hat laser was obtained in Fig.13 under the periodic molten pool profile with amplitude $A=1\ \mu\text{m}$ and period $T=5\ \mu\text{m}$. Furthermore, the irradiation time is mainly considered under the laser power density $585.69\ \text{W}/\text{cm}^2$. As shown in Fig.13-(a), when the Gaussian laser is applied for 84 ms, the maximum temperature of molten pool surface appears at the center of molten pool, which is 1997 K, and reaches the liquefying temperature (1986 K). With the passage of time, the area where the temperature rises above the liquidus temperature expands, and it completely enters the liquid state at 90 ms. When the

top-hat laser is applied from Fig.13-(b), the surface temperature of the molten pool is more uniform. The maximum temperature of molten pool surface is 1989 K with interaction time reaches 51 ms, reaching the liquefying temperature (1986 K), and it completely enters the liquid state at 55 ms. It can be seen from the Fig.13 that the molten pool has transited from solid phase to liquid phase. Compared with the temperature of other models in Section3.1, all of them reach to liquefying temperature (1986 K) and lower than evaporation temperature (2503 K). Consequently, the stop time of simulation is 120 ms for Gaussian laser, 70 ms for top-hat laser.

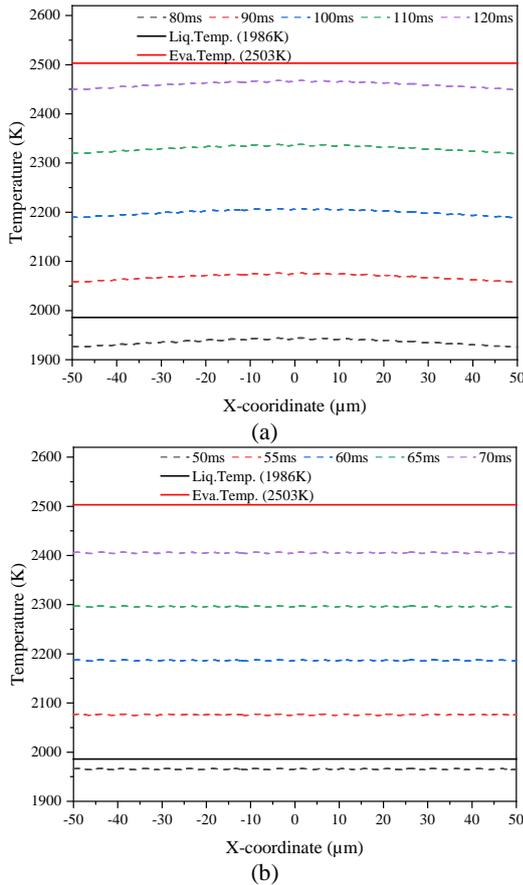


Fig.13 Surface temperature of molten pool. (a) Gaussian laser; (b) Top-hat laser.

Previous studies [15] have shown that there are two main driving forces for fluid flow: one is the surface tension related to the curvature of the surface profile, and the other is the Marangoni effect caused by the temperature gradient along the surface of the molten pool. If the tangential force caused by temperature gradient cannot overcome the viscous force, the surface tension (capillary force) controls the molten pool, which is called capillary zone; otherwise, Marangoni effect (thermocapillary force) dominates, which is called thermocapillary zone. These two effects are the main reasons for the smooth surface compared with other effects that like gravity etc. Consequently, the others are neglected.

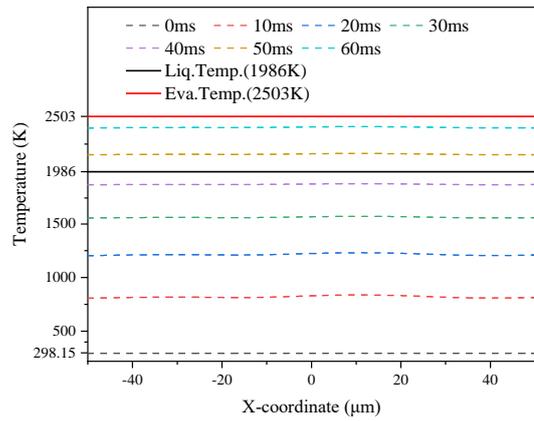


Fig.14 Surface temperature of validation model.

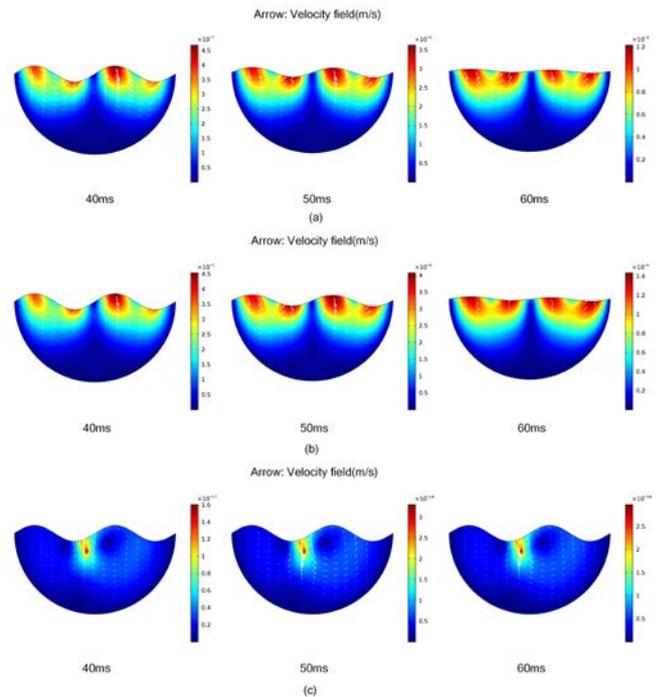


Fig.15 Velocity field and surface profile of validation model in 40-60 ms under Gaussian laser. (a) Synergic effect (Surface tension and Marangoni effect); (b) Surface tension (only); (c) Marangoni effect (only).

In order to simplify the calculation and intuitive expression, the periodic topography with amplitude $A=5 \mu\text{m}$ and period $T=50 \mu\text{m}$ was selected, which was slightly different from the above model and called validation model for short. The laser power and spot radius of validation model is 0.04 W and $50 \mu\text{m}$ respectively. It can be seen from the Fig.14 that the surface temperature of validation model has not reached the liquidus at 40 ms, but it has been completely melted at 50 ms and 60 ms. Therefore, we consider the surface morphology evolution of V-model in 40-60 ms from Fig.15. The effects of surface tension and Marangoni effect on fused silica irradiated by Gaussian laser were simulated. The results show that when only the surface tension is applied, the molten material of convex surface (Peak) flows downward, and the molten material of concave surface (Valley) flows upward, and the large curvature surface morphology is eliminated. As shown in Fig.18(a) that the velocity field increases from $4.7 \times 10^{-7} \text{ mm/s}$ to $1.2 \times 10^{-4} \text{ mm/s}$ under the synergic effect (Surface

tension and Marangoni effect), meanwhile the maximum velocity is 3.6×10^{-4} mm/s that appears at 50 ms.

When the Marangoni effect is the only effect in the Fig.15-(c), the maximum velocity which appears at the center of the region reaches about 1.6×10^{-17} mm/s at 40 ms, but the large curvature surface profile cannot be eliminated, and we found that the velocity field distribution from the edge to the center in the early formation of the molten pool, and from the center to the edge after the development of the molten pool, so that the molten material redistributes in the molten pool. It is the same as the conclusion of the previous simulation [13-15]. The surface morphology can be smooth under the surface tension is applied in the Fig.15 (b), but the maximum velocity will increase to 4.1×10^{-4} mm/s. The function of surface tension is to make the molten material flows from the peak to valley. It is obvious that the maximum velocity appears at the peak of surface morphology, which is related to the higher temperature at the peak compared with valley region.

As shown in Fig.16, more detailed velocity field of validation model has been calculated under Gaussian laser irradiation. The velocity field tends to zero before the irradiation time of 44ms, and then suddenly increases to the maximum value of 5.2×10^{-4} mm/s with surface temperature above liquefying temperature (1986 K) at 46 ms. The velocity will gradually decreases after 46 ms. Similar tendency for the velocity field effected by surface tension can be observed obviously in Fig.16. The maximum value of velocity field increases to 5.4×10^{-4} mm/s at 47 ms, but slower time that is 46 ms to achieve molten pool in the absence of Marangoni effect.

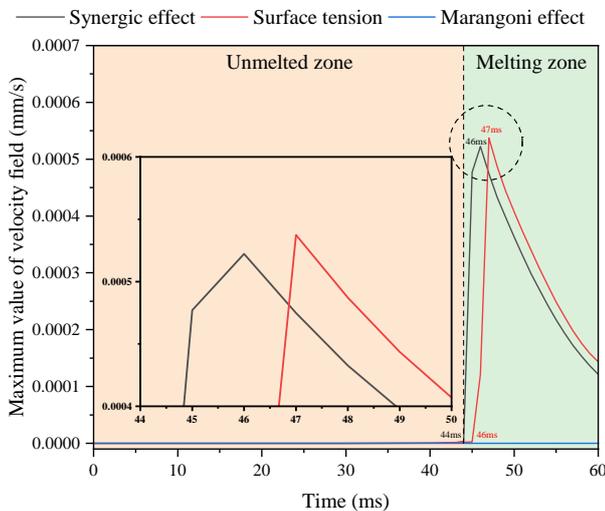


Fig.16 The maximum velocity field of validation model in 0-60 ms under Gaussian laser.

The validation model reveals the mechanism of laser polishing. First of all, the temperature of fused silica absorbing energy increases, leading to the transition from solid phase to liquid phase. In this process, it is necessary to control the temperature to make it below the evaporation temperature, otherwise it will be excessive to the gas phase. After the molten pool is completely melted, there will be surface tension and Marangoni effect on the surface. Under the combined action of these two forces, the large curvature surface morphology redistributes in the flow. The result is

that the surface morphology becomes smooth, which is the same as the previous periodic surface morphology simulation results, and the random surface profile is also applicable.

5. Conclusions

In this paper, an improved simulation model of fused silica with CO₂ laser was numerically simulated. The mechanism and internal process of laser polishing was analyzed by finite element simulation. The micro-flow mode of fused silica under different laser intensity distribution (Gaussian and top-hat) irradiation was investigated.

The temperature influenced by Gaussian and top-hat laser that appears a downward trend with the increase of period, increases with amplitude. Under the 585.69 W/cm² power density, the highest temperature of Gaussian and top-hat is 2467.7 K and 2406.6 K respectively at the amplitude A=1 μm and period T=5 μm.

Top-hat laser is more efficient in smoothing the fused silica surface compared with Gaussian laser. Taking period T=50 μm and amplitude A=1 μm as example, the smoothing time for Gaussian laser is 80 ms, 50 ms for top-hat laser. Both Gaussian and top-hat laser can significantly reduce the surface roughness (RMS) of fused silica. The optimal roughness (RMS) of Gaussian and top-hat is 2.85 nm and 2.48 nm respectively in period T=5 μm and amplitude A=1 μm.

The surface tension plays an important role in the surface smoothing process, and the Marangoni effect makes the molten material redistribute in the micro-flow. Under the combined action of these two forces, the super smooth surface can be achieved. The velocity field increases from 1.29×10^{-13} mm/s to 1.22×10^{-4} mm/s with temperature increases.

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